

POLO QUEEN INDUSTRIAL AND FINTECH LIMITED

Regd. Off: 303/4/5, A to Z Industrial Premises, G. K. Marg, Lower Parel (W), Mumbai - 400013 (India)

CIN NO. L72200MH1984PLC094539

October 6, 2021

BSE Limited

1st Floor, New Trading Wing, Rotunda Building, P. J. Towers, Dalal Street, Fort, Mumbai – 400001. Scrip Code: 540717 Metropolitan Stock Exchange of India Ltd

Vibgyor Towers, 4th Floor, Plot No.C-62, G- Block, Bandra-Kurla Complex, Bandra (East), Mumbai – 400098.

Symbol: PQIF

Subject: Disclosure under Regulation 27(2) of SEBI (Listing Obligations and Disclosure Requirements), Regulations, 2015

Dear Sir,

With reference to above subject, please find enclosed the Compliance Report on Corporate Governance for the quarter ended 30th September, 2021.

We request you to take the same on record and acknowledge receipt.

Thanking you,

Yours truly,

For Polo Queen Industrial and Fintech Limited

IABMUM

Vandana Yadav Company Secretary

Encl: As Above

Quarterly Compliance Report on Corporate Governance under regulation 27(2) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Name of Listed Entity : Polo Queen Industrial and Fintech Limited Quarter ending 30^{th} September , 2021

Till Name of the PAN & DIV Category (Mr
the PANS & DIN Category Chategory (Chairperson) Date of of (Chairperson) Date of of (Chairperson) Date of of (Chairperson) Director Independent Director
Chategory Date of Initial Date Date of Date of Chategory Chategory Executive Non- Independent Director Independent Date of Director Independent Independent Non- Independent Director Independent Independent Director Independent Indepndent Independent Independent Independent Independent
Date of Initial Date Date of Initial Date Date of Initial Date Date of Initial Date Date of Independe Date of Independe Date of Independe No of Number of Independe Number of Indepe
I. Composition of Board of Directors Initial Date of Cessation of Mathematic of Appointment of
Date of cessation of of of director of director ship in (in listed months) entities g this entity entity of 17A (Refer on 17A of 17A(1) Listing ons) s NA 1 0 0 0
Date of cessation of of of director of director ship in (in listed months) entities g this entity entity of 17A (Refer on 17A of 17A(1) Listing ons) s NA 1 0 0 0
Date of Tenure No of Director Independe Audit/ (in Ship in nt Directorsh Insted ip in Olisted Including In
nure No of Director Independe memberships in ship in tlisted entities ip in including this including listed entity Regulati on of 17A (1) Listing Regulati on ons) 1 0 0 1 2 1 0 0
No of Number of nemberships in nt Directorsh Stakeholder Committee(s) in listed entity including this listed entity including this listed entity (Refer this listed Regulation 17A(1) of Listing ati Regulation s Regulation of Listing ati Regulation 17 A(1) of Listing 10 0 0
lo of Number of memberships in t Audit/ t Stakeholder Sirectorsh Stakeholder Sin including this including this including this listed listed entity capulation Acquilation TAA(1) TA(1) TA(1) TO(1) TA(1) TO(1) TO(
Number of Numberships in Nudit/ Vakeholder Committee(s) Nucluding this Sisted entity Refer Regulation Regulations
No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations) 0



×	Mr.	Mr.	Mr.	Mr.	Mr.
Mrs			2.7.2		
Feroza Jamsheed Panday	Natwarlal Gaur	Aspi Katgara	Aftab Diamondwala	Udit P. Sanghai	Rahul Kumar Sanghai
00232812 AACPP7418H	06945450 AIMPG5024A	06946494 ABAPK3683J	06946487 ABKPD4930F	06725206 AAJPS1625C	00181745 ALJPS1255K
Non- Executive - Independent Director	Non- Executive - Independent Director	Non- Executive - Independent Director	Non- Executive - Independent - Director	Executive Director & Chief Financial Officer	Non- Executive - Non Independent Director
13-01-1956	10-12-1985	30-08-1953	21-07-1967	23-07-1991	26-11-1973
05-02-2020	19.08.2014	19.08.2014	19.08.2014	01.01.2014	19.08.2000
,	20-08-2019	20-08-2019	20-08-2019	01-01-2020	
	•	1	, .	,	,
20 Months	Months + 25 Months	60 Months +25 Months	60 Months + 25 Months	NA	N A
1	1	2	1	1	H
1	1	1	1	0	0
0	1	2	s 0	1	-
0	_	0	0	0	<u> </u>



				Mr
				Shridatta Haldankar
		5		08342307 AARPH2361Q
				Non- Executive - Independent Director
SPAN of any director would & Category of directors mean separating them with hyphen * to be filled only for Independinted entity in continuity with	Whether Chair	Whether Regul		29-03-1956
irector would not directors means educates with hyphen hy for Independen continuity without	person is related t	Whether Regular chairperson appointed -No	\$PAN number o & Category of d categories separa * to be filled onl directors of the l	29-01-2019
[§] PAN of any director would not be displayed on the website of Stock Exchange ^{&} Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen * to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.	Whether Chairperson is related to managing director or CEO	pointed -No	\$PAN number of any director would not be displayed on the website of Stock Exchange & Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen * to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.	•
site of Stock Exchan idependent/Nomineo d mean total period	EO - No		be displayed on the /non-executive/inde /non-executive/inde /or. Tenure would m without any cooling	
ge if a director fit from which Inde		,s	website of Stock pendent/Nomine pendent period g off period.	32 1 Months
s into more than o pendent director			Exchange e. if a director fit	<u></u>
one category writ			s into more than over than over the sendent director is	-
e all categories ırd of directors of the		s	one category write all	0

	II. Composition of Committees	of Committees			
Name of the Committee	Whether Regular chairperson appointed	Name of Committee members	Category(Chairperson/Executive/NonExecutive/inde	Date of Appointment Date of Cessation	Date of Cessation
	appointed		Category(Chairperson/Executive/NonExecutive/inde pendent/ Nominee)		
	Yes	Mr. Natwarlal Gaur -Chairperson	Non-Executive - Independent Director	27-03-2015	
Audit Committee		Mr. Aspi Nariman Katgara - Member Mr. Udit P. Sanghai - Member	Non-Executive - Independent Director Executive Director & Chief Financial Officer	27-03-2015 27-03-2015	
			Moodella		

Jando Maria Maria

*Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen
Non Executive - Non Independent Director Non-Executive – Non Independent Director Non Executive - Independent Director
Non-Executive - Independent Director Non-Executive - Independent Director Non Executive- Non Independent Director

		III. Meeting of Board of Directors	rectors		
Date(s) of Meeting (if any) in the previous Date(s) of Meeting (if any) in the		Whether requirement of	Number of Directors	Number of independent directors Maximum gap between any two	Maximum gap between any two
quarter	relevant quarter	Quorum met*	present*	present*	consecutive (in number of days)
June 24,2021	August 13,2021	Yes	10	5	49

	IV. Meeting of Committees	es			
Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Number of Directors Number of indeposition Quorum met (details) present* directors present	Number of Directors present*	Number of independen directors present*	nenden Date(s) of meeting of Maximum gap be the committee in the number of days* previous quarter	Maximum gap between any two consecutive meetings in number of days*
	Audit Committee				



	No meeting was held in relevant quarter		No meeting was held in relevant quarter		June 24,2021
* This information has to be	Yes	Nomination & Remuneration Committee	Yes	Stakeholders Relationship Committee	August 13,2021
mandatorily be given for	S	on Committee	3	Committee	Yes
audit committee, for res	2		1		10
t of the committees givin	June 24 , 2021		June 24, 2021		5
* This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional					49

The state of the s		,		9	
	Nomination & Remuneration Committee	on Committee			
No meeting was held in relevant quarter	Yes	S	2	June 24 , 2021	
	* This information has to be mandatorily be given for audit committee,	mandatorily be given	for audit committee, for res	for rest of the committees giving this information is optional	nis information is optional
V. Related Party Transactions	ě			s	
Subject		Compliance stati	Compliance status (Yes/No/NA) refer note below	elow	
Whether prior approval of audit committee obtained	ned	Yes			
Whether shareholder approval obtained for material RPT	rial RPT	N.A.			
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	omnibus	Yes			
Note 1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A For example, if the Boa "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated. 2 If status is "No" details of non-compliance may be given here.	ce or non-compliance may be isted Entity has no related par y be given here.	indicated by Yes/No/N ty transactions, the wor	I.A For example, if the Bords "N.A." may be indicated	ard has been composed in ac	Note In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated. 2 If status is "No" details of non-compliance may be given here.



VI. Affirmations

- 1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015 Yes
- 2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
- Audit Committee Y
- b. Nomination & Remuneration Committee Yes
- c. Stakeholders Relationship Committee Yes
- d. Risk Management Committee (applicable to the top 100 listed entities) Not Applicable
- 3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015 Yes
- 4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015 Yes
- 5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors -Yes

Any comments/observations/advice of Board of Directors may be mentioned here - No comments/observations/advice were received from Board of Directors.



For Polo Queen Industrial and Fintech Limited

Vandana Yadav Company Secretary Place: Mumbai Date: October 6, 2021



Note: Information at Table I and II above need to be necessarily given in 1st quarter of each financial year. However if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by Listed entity and instead a statement "same as previous quarter" may be given.

Whether "Corporate Governance Report" disclosed in Annual Report 34(3) read with par-	Presence of Chairperson of the Stakeholder Relationship Committee at the Annual General Meeting 20(3)	Presence of Chairperson of the Nomination And Remuneration Committee at the Annual General Meeting	Presence of Chairperson of Audit Committee at the Annual General Meeting 18(1)(d)	Copy of the Annual Report including Balance Sheet, Profit and Loss Account, Directors Report, Corporate Governance Report, Business Responsibility Report displayed on website	Broad heading Regulation Number	I Affirmations	Format to be submitted by listed entity at the end of 6 months after end of financial year along-with second quarter report of next financial year
para C of Schedule V Yes	Yes	**No	Yes	Yes	er Compliance status (Yes/No/NA) refer note below		port of next financial year





I In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.

2 If status is "No" details of non-compliance may be given here. **Mr. Aspi Katgara Chairperson of Stakeholder Relationship Committee was not present at AGM due to health reason.

3 If the Listed Entity would like to provide any other information the same may be indicated here. Note

For Polo Queen Industrial and Fintech Limited

Vandana Yadav Company Secretary Place: Mumbai

Date: October 6, 2021 EN INDU MUMBAI