

# POLO QUEEN INDUSTRIAL AND FINTECH LIMITED

Regd. Off : 303/4/5, A to Z Industrial Premises, G. K. Marg, Lower Parel (W),  
Mumbai - 400013 (India)

CIN NO. L72200MH1984PLC094539

## Quarterly Compliance Report on Corporate Governance under clause 49 of the Listing Agreement

1. Name of Listed Entity : Polo Queen Industrial and Fintech Limited
2. Quarter ending December 2015

I. Composition of Board of Directors								
Title (Mr / Ms)	Name of the Director	PAN & DIN	Category (Chair person /Execu tive/N on- Execu tive/in depen dent/N omine e) &	Date of Appoint ment in the current term /cessati on	Tenure*	No of Directorsh ip in listed entities including this listed entity  (Refer Regulatio n 25(1) of Listing Regulatio ns)	Number of membership s in Audit/ Stakeholder Committee(s ) including this listed entity  (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity  (Refer Regulation 26(1) of Listing Regulations)
Mr.	Nandlal Sanghai	00181592 AAQPS230 1E	Promot er NED	27.09.19 93		5	0	0
Mr.	Prabhas Sanghai	00302947 ALJPS1248 N	Promot er NED	29.04.20 08		6	0	0
Mr.	Umesh Agarwal	03415934 ABUPA448 2H	WED	27.09.19 93		9	0	0

Tel: (Off) 24935421 (4 Lines) 66615901 Fax: (91-22) 24935420 / 66615900

E-mail: info@poloqueen.com

Director / Authorised Signatory





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Mr.	Rahul Sanghai	00181475 ALJPS1255 K	Promoter NED	19.08.20 00	4	0	0
Mr.	Udit Sanghai	06725206 AAJPS162 5C	Promoter ED & KMP- CFO	01.01.20 14	0	1	0
Mr.	Aftab Diamondwala	06946487 ABKPD493 0F	Independent NED	19.08.20 14	0	0	0
Mr.	Aspi Katgara	06946494 ABAPK368 3J	Independent NED	19.08.20 14	1	1	0
Mr.	Natwarla Gaur	06945450 AIMPG502 4A	Independent NED	19.08.20 14	1	1	1

\$PAN number of any director would not be displayed on the website of Stock Exchange & Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen

\* to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.

\*Mrs. Grishma Khandwala – Resigned w.e.f 30.06.2015

*M. S. B.*

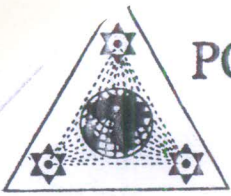


For POLO QUEEN INDUSTRIAL AND FINTECH LIMITED

*M. S. B.*  
Director/Authorised Signatory

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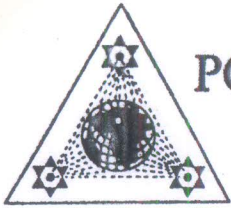
II. Composition of Committees		
Name of Committee	Name of Committee members	Category (Chairperson/Executive/Non-Executive/independent/Nominee)
1. Audit Committee	Mr. Natwarlal Gaur – Chairman Mr. Aspi Katgara – Member Mr. Udit Sanghai – Member	Independent Director NED Independent Director NED Promoter ED KMP CFO
2. Nomination & Remuneration Committee	Mr. Aspi Katgara – Chairman Mr. Natwarlal Gaur – Member Mr. Rahul Sanghai – Member	Independent Director NED, Independent Director NED Promoter NED
3. Risk Management Committee (if applicable)	Not Applicable	
4. Stakeholders Relationship Committee'	Not Applicable	Not Applicable

&Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen

For POLO QUEEN INDUSTRIAL AND FINTECH LIMITED

  
Director/Authorised Signatory





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### III. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive (in number of days)
06/08/2015	04/11/2015 and 12/12/2015	88 Days and 38 Days Resp.

### Meeting of Committees ( Audit

#### IV. Committees)

Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
04.11.2015	Yes ( All three Director Present)	06.08.2015	88 Days

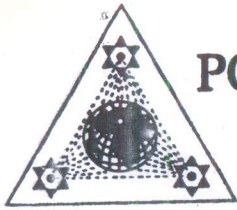
\* This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional

### V. Related Party Transactions

Subject	Compliance status (Yes/No/NA) <sup>refer note below</sup>
Whether prior approval of audit committee obtained	NA
Whether shareholder approval obtained for material RPT	NA
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA

#### Note

- 1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.
- 2 If status is "No" details of non-compliance may be given here.



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## VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
  - a. Audit Committee
  - b. Nomination & remuneration committee
  - c. Stakeholders relationship committee
  - d. Risk management committee (applicable to the top 100 listed entities)
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here:

For POLO QUEEN INDUSTRIAL AND FINTECH LIMITED

Director/Authorised Signatory  
Name & Designation : Nandlal Sanghai  
Compliance Officer

### Note:

Information at Table I and II above need to be necessarily given in 1<sup>st</sup> quarter of each financial year. However if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by Listed entity and instead a statement "same as previous quarter" may be given.

*Nandlal Sanghai*



For POLO QUEEN INDUSTRIAL AND FINTECH LIMITED

*Nandlal Sanghai*  
Director/Authorised Signatory

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